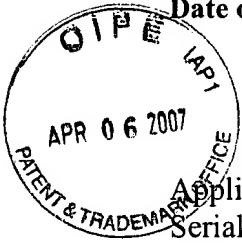


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mark Hoffman *et al.*
Serial No.: 09/696,762
Filed: October 25, 2000
For: INVESTMENT ADVICE SYSTEMS AND METHODS

Examiner: Nguyen, Nga B.
Art Unit: 3692

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT UNDER THE WAIVER OF 37 CFR 1.312

Sir:

Pursuant to the Notice to File Corrected Application Paper mailed on April 3, 2007, and in accordance with waiver of 37 C.F.R. §1.312 for Documents Required by the Office of Patent Publication (1280 Off. Gaz. Patent Office 918, March 23, 2004), please amend the application as follows:

Amendments to the Drawings begin on page 2 of this Response.

Remarks begin on page 3 of this Response.